

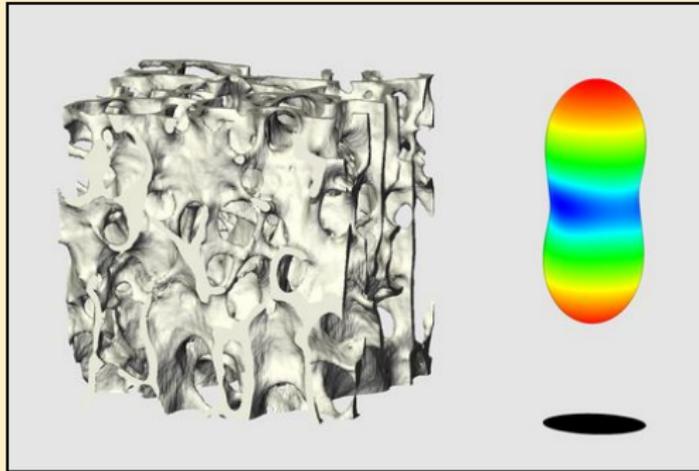
# Numerical Homogenization of Trabecular Bone Specimens using Composite Finite Elements

Lars Ole Schwen

Institute for Numerical Simulation  
University of Bonn

Joint work with: Martin Rumpf (INS Bonn)  
Tobias Preusser (MEVIS Bremen)  
Hans-Joachim Wilke, Uwe Wolfram (UFB Ulm)  
Stefan Sauter (Uni Zürich)

CdE-Seminar 2010  
Siegburg, 2010-11-27



**Goal:** for 'representative cells' of trabecular structures, determine effective elasticity properties

## ■ 1 Numerical Homogenization

- Exactly Periodic Case
- Statistically Periodic Case
- Tensor Visualization and Rotation

## ■ 2 Discretization using Composite Finite Elements

- Discretization in General
- Composite Finite Elements
- Periodic Boundary Conditions

## ■ 3 Application to Vertebral Trabecular Bone

- Artificial Specimens
- Specimen Acquisition
- Results

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■ Determine effective elasticity tensor by imposing different unit strains and computing stress response.

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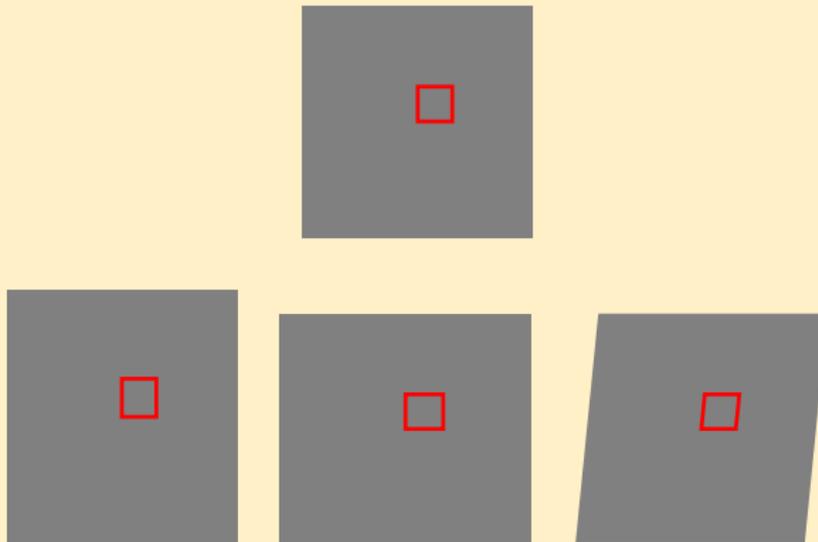
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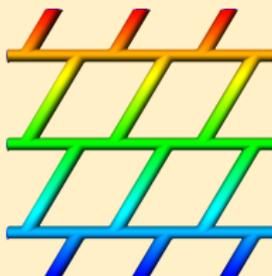
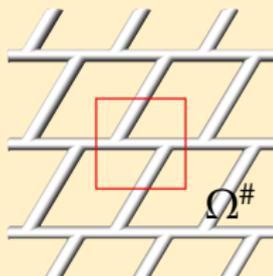


adapted from an image by "Lynda & Leonard" on [picasaweb.google.com](https://www.picasaweb.google.com/)

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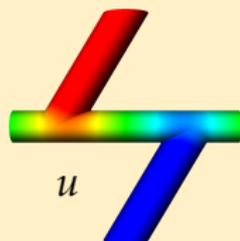
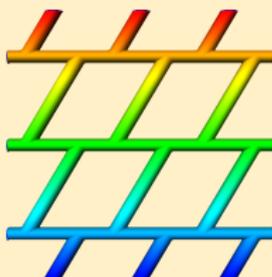
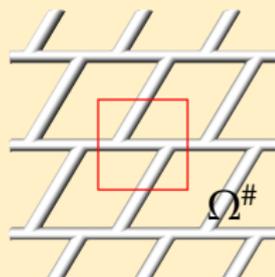


 z displacement

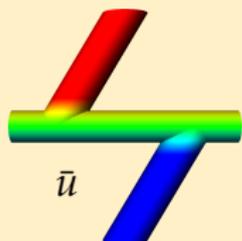
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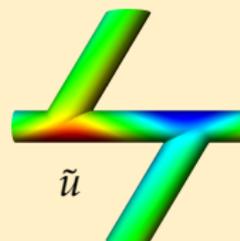
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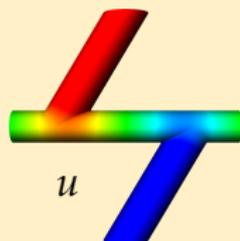
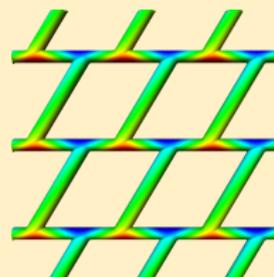
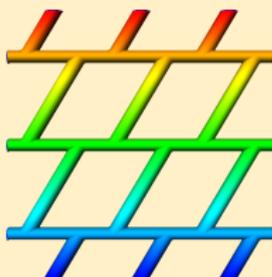
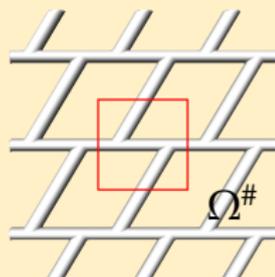


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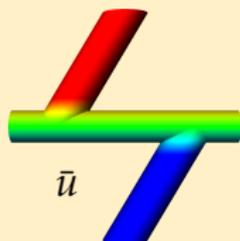
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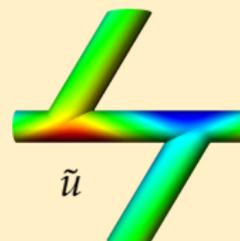
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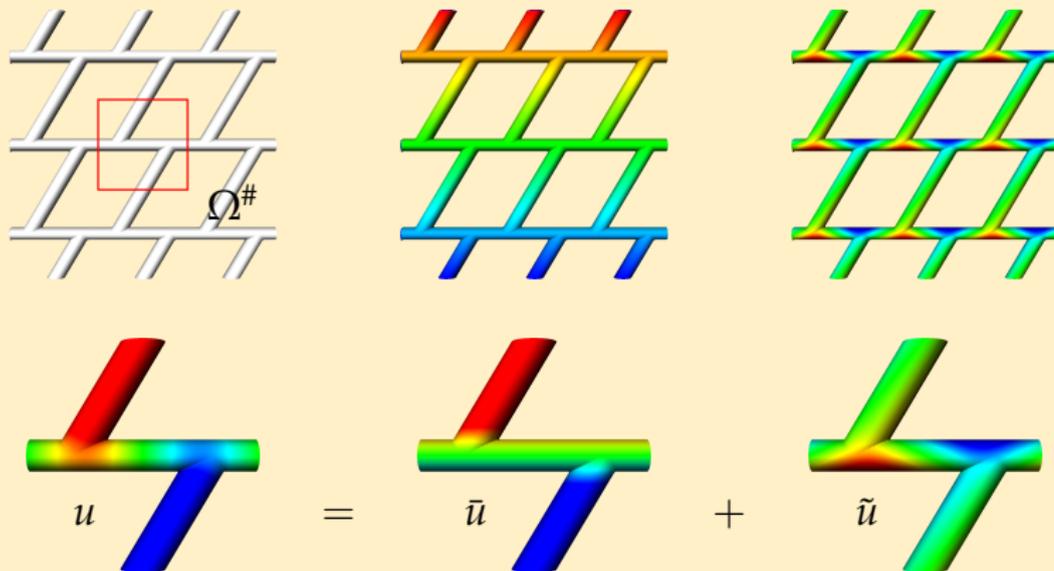


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Let's make this more precise ...

 z displacement

■ Microscopic material parameters (isotropic):

- Young's modulus (stiffness)  $E = 13 \text{ GPa}$
- Poisson's ratio (bulging ratio)  $\nu = 0.32$

[Wolfram, Wilke, Zysset Bone 46:348]

## ■ Linearized Elasticity Model

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[Wolfram, Wilke, Zysset Bone 46:348]

Displacement  $u : \Omega^\# \rightarrow \mathbb{R}^3$

Elasticity tensor  $C$  couples

- strain  $\epsilon(u) = \frac{1}{2} [\nabla u + (\nabla u)^T]$
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Leading to PDE

$$-\operatorname{div} C\epsilon(u) = f$$

where  $f$  is neglected.

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Decompose physical displacement  $u = \bar{u} + \tilde{u}$  in

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Elasticity equation:

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To ensure uniqueness of the decomposition, require  $\int_{\Omega^\#} \tilde{u} = 0$ .

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$$\bar{C}_{..ij} = \bar{\sigma}^{ij} = \int_{\Omega^\#} C \left[ \underbrace{\epsilon(\bar{u}^{ij})}_{e_{ij}} + \epsilon(\tilde{u}^{ij}) \right]$$

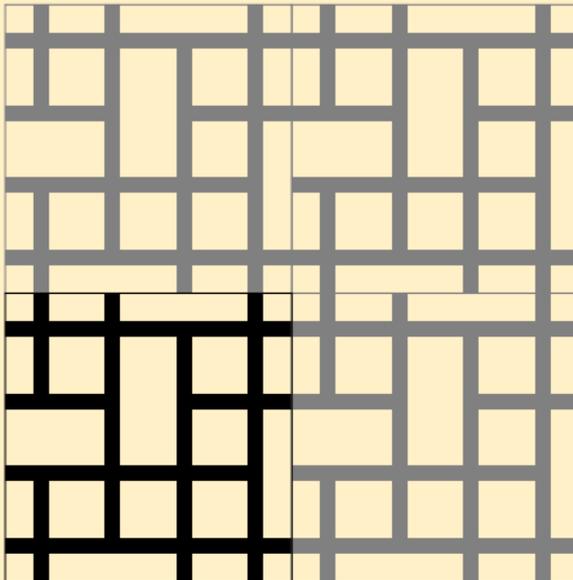
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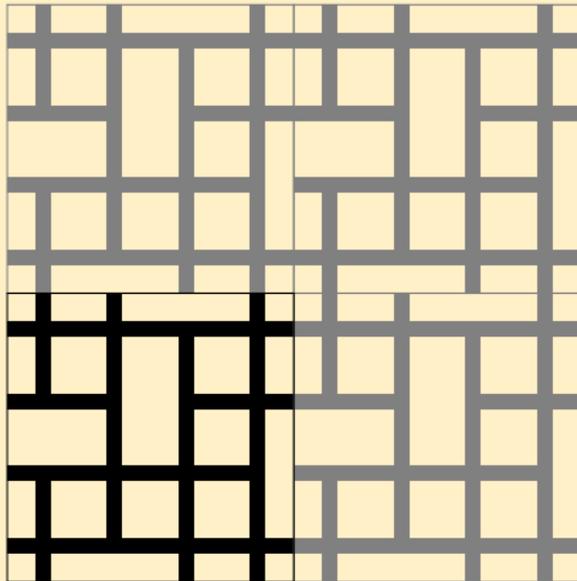
**Problem:** cannot enforce periodic boundary conditions.



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■ Could make structure periodic by mirroring

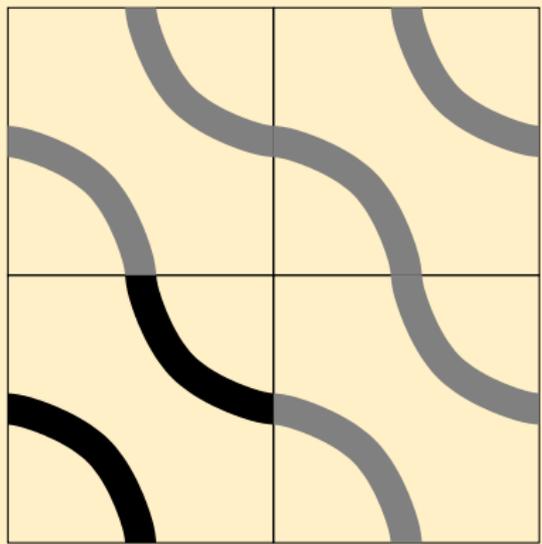


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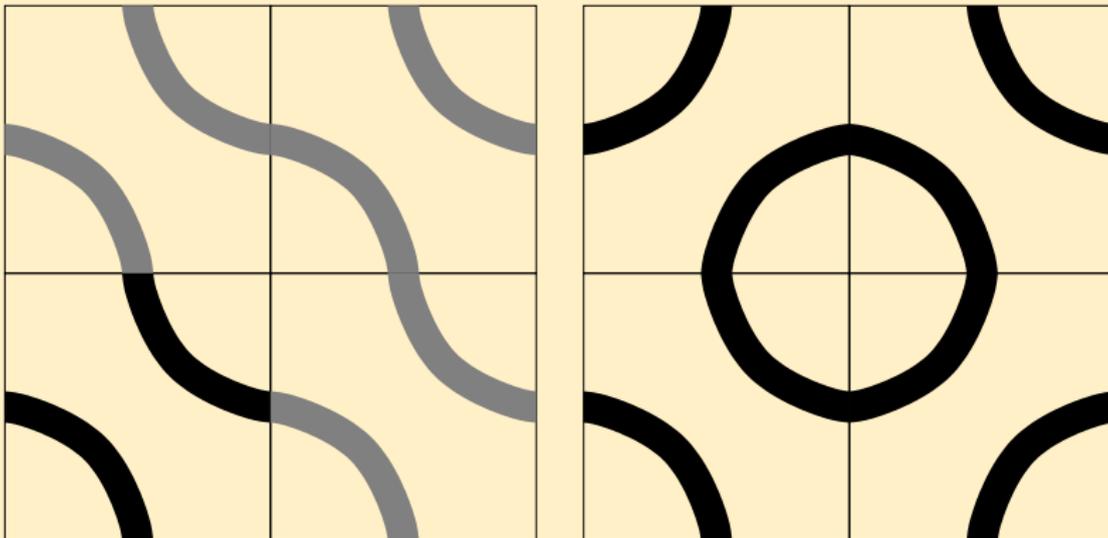


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In case of non-periodic microstructures, need statistically representative fundamental cell of at least 5 inter-trabecular distances [Harrigan et al, JBiomech 21:269].

Could make structure periodic by mirroring, but that is a bad idea.



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For  $\bar{u}^{ij} = e_{ij} := \frac{1}{2}(e_i \otimes e_j + e_j \otimes e_i)$  (as before), consider

$$\begin{aligned} -\operatorname{div}(C\epsilon(u^{ij})) &= 0 && \text{in } \Omega^{\#} \\ u^{ij} &= \bar{u}^{ij} && \text{on } \partial^{\square}\Omega^{\#} \end{aligned}$$

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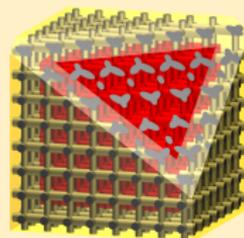
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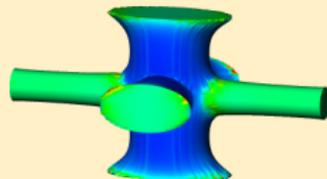
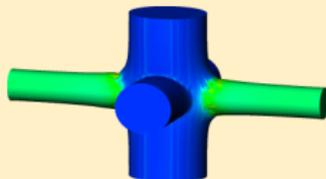
$$[\bar{C}_{ij..} = ] \bar{\sigma}^{ij} = \int_{\Omega_{\beta}^{\#}} C\epsilon[u^{ij}].$$

$\Omega_{\beta}^{\#} = \{x \in \Omega^{\#} \mid \operatorname{dist}(x, \partial^{\square}\Omega^{\#}) > \beta\}$ . Why?



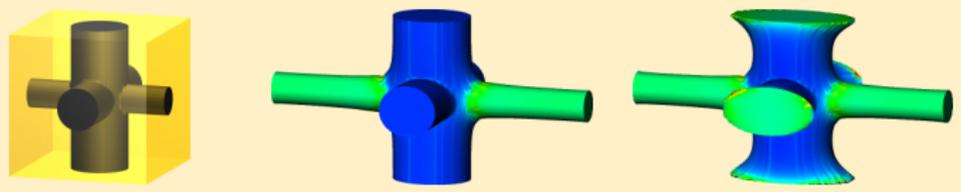
## Numerical Homogenization (Statistically Periodic Case)

**Problem:** artificial stiffening near the boundary by enforcing displacement boundary conditions (right)



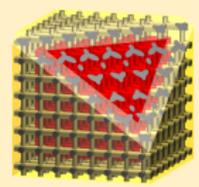
# Numerical Homogenization (Statistically Periodic Case)

**Problem:** artificial stiffening near the boundary by enforcing displacement boundary conditions (right)



**Solution:** ignore boundary layer and evaluate stress only in interior  $\Omega_\beta^\#$  (typically  $\beta = 0.125$ )

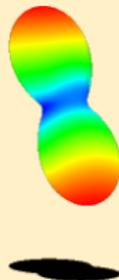
cf. [Ün, Bevill, Keaveny ] Biomech 39:1955]



Note that  $\Omega_\beta^\#$  still needs to be representative.

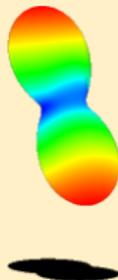
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Tensors are visualized as deformed (encoding uniaxial stiffness) and colored (encodes bulk modulus) spheres.



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Orthotropy? Axes of orthotropy?

## Optimal Tensor Rotation

Determine rotation matrix  $Q \in \text{SO}(3)$  (with angle bound)  
minimizing orthotropy violation

$$F_{\bar{C}}(Q) = \frac{\|R_a[\bar{C}^Q]\|_{\text{F}}^2}{\|R_b[\bar{C}^Q]\|_{\text{F}}^2} \quad \text{where } \bar{C}^Q := Q_{mi}Q_{nj}Q_{pk}Q_{ql}\bar{C}_{ijkl}$$

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$$\bar{C} = \begin{bmatrix} 0.3926 & 0.1726 & 0.1771 & -0.0013 & -0.0088 & -0.0304 \\ 0.1726 & 0.3678 & 0.1804 & -0.0010 & 0.0073 & -0.0108 \\ 0.1771 & 0.1804 & 1.0276 & -0.0111 & 0.0218 & -0.0032 \\ -0.0013 & -0.0010 & -0.0111 & 0.1668 & -0.0305 & 0.0064 \\ -0.0088 & 0.0073 & 0.0218 & -0.0305 & 0.1718 & -0.0022 \\ -0.0304 & -0.0108 & -0.0032 & 0.0064 & -0.0022 & 0.0907 \end{bmatrix}$$

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$$\bar{C}^Q = \begin{bmatrix} 0.4090 & 0.1856 & 0.1809 & -0.0039 & -0.0018 & 0.0164 \\ 0.1856 & 0.3260 & 0.1749 & 0.0004 & -0.0051 & -0.0045 \\ 0.1809 & 0.1749 & 1.0302 & 0.0018 & 0.0016 & -0.0027 \\ -0.0039 & 0.0004 & 0.0018 & 0.1380 & 0.0005 & -0.0073 \\ -0.0018 & -0.0051 & 0.0016 & 0.0005 & 0.1988 & -0.0059 \\ 0.0164 & -0.0045 & -0.0027 & -0.0073 & -0.0059 & 0.1038 \end{bmatrix}$$

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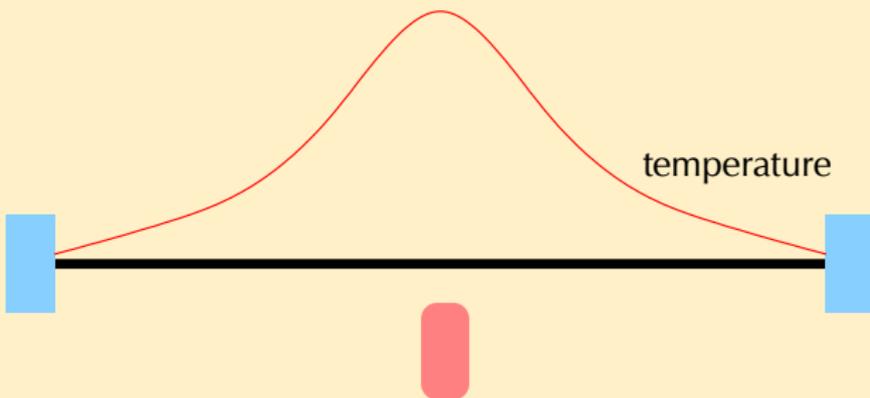
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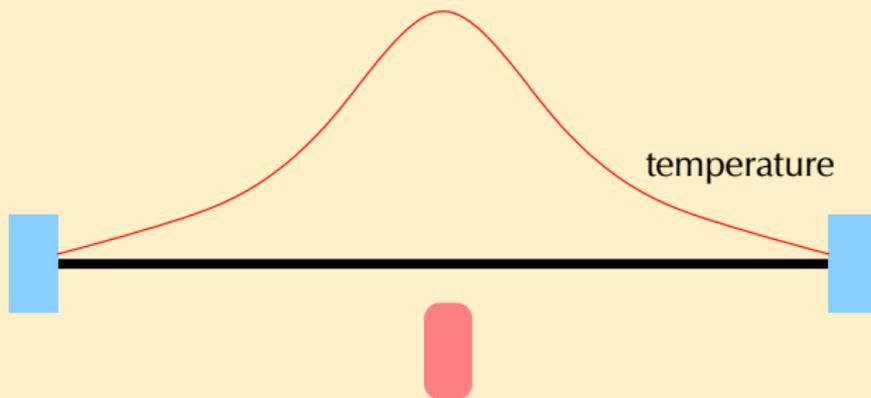
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Continuous (temperature) profile

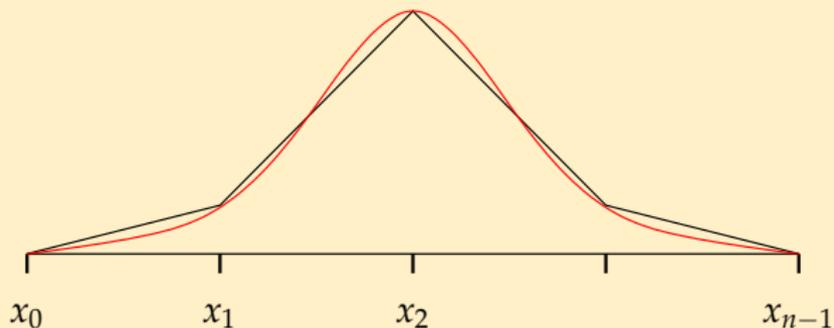


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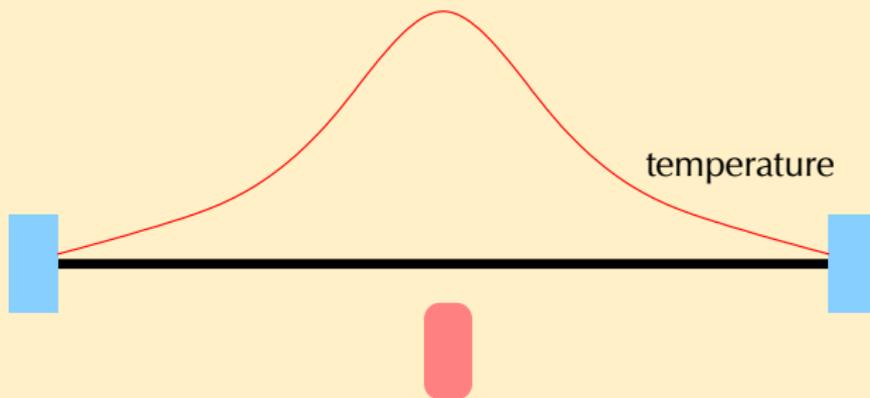


is approximated

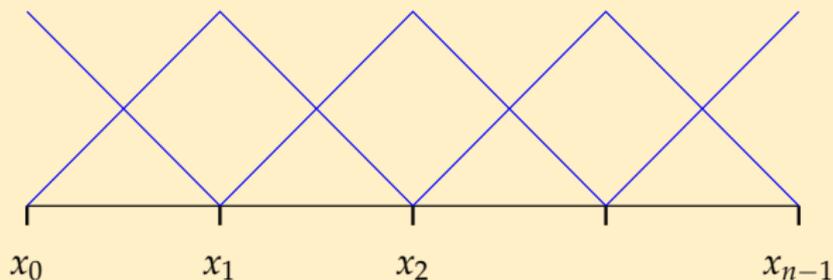


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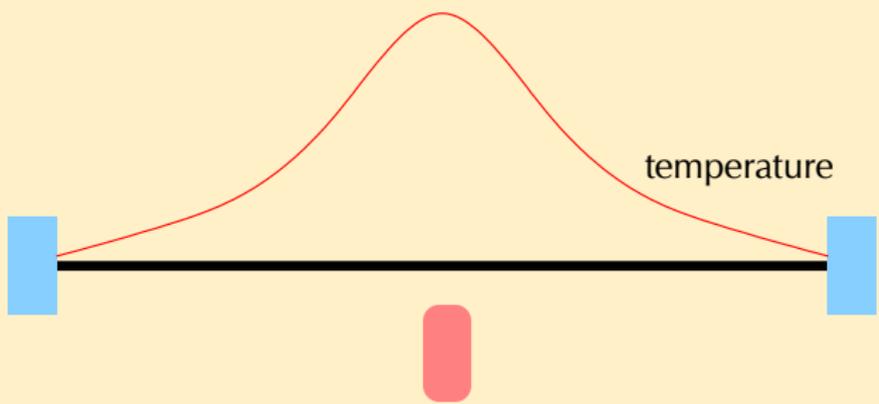


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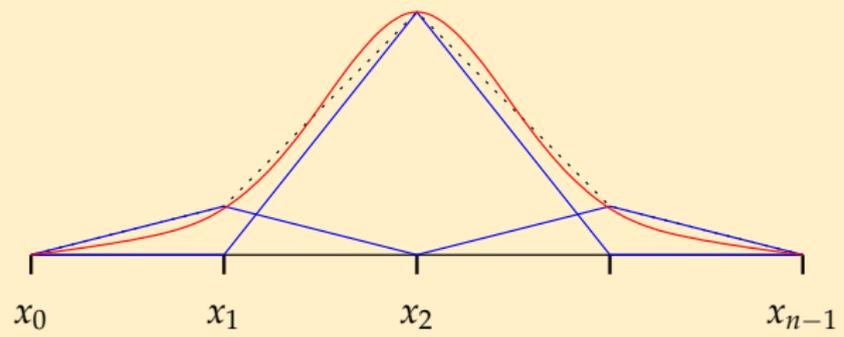


# 1D Discretization

Continuous (temperature) profile



is approximated



- 'complicated' domain (dashed) with DOF on uniform grid ■

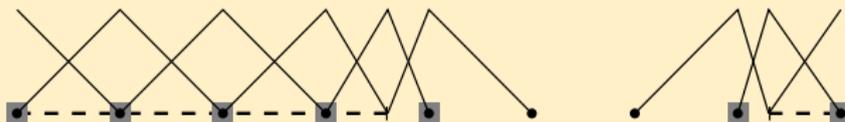


# Composite Finite Elements in 1D

- 'complicated' domain (dashed) with DOF on uniform grid ■



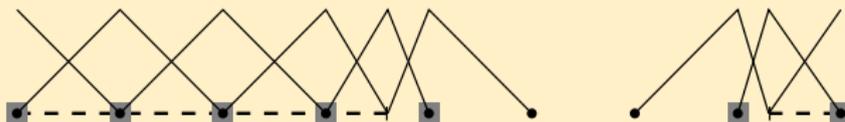
- 'virtual' tent basis with additional nodes



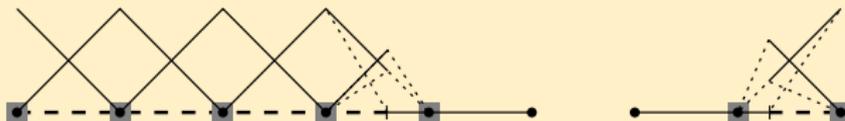
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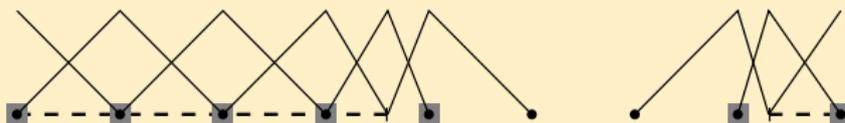


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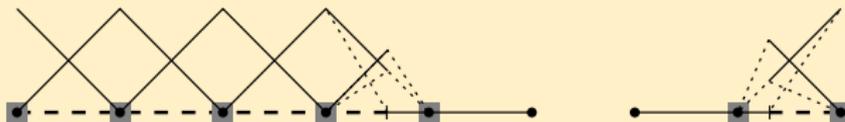
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In 1D, this may seem to be a complicated solution for a simple problem ...

## ■ Complicated domains in 2D

■ Interfaces in 2D:

■

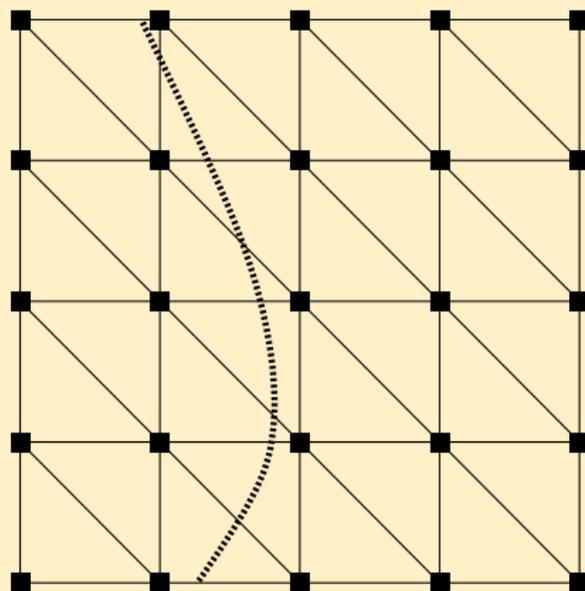
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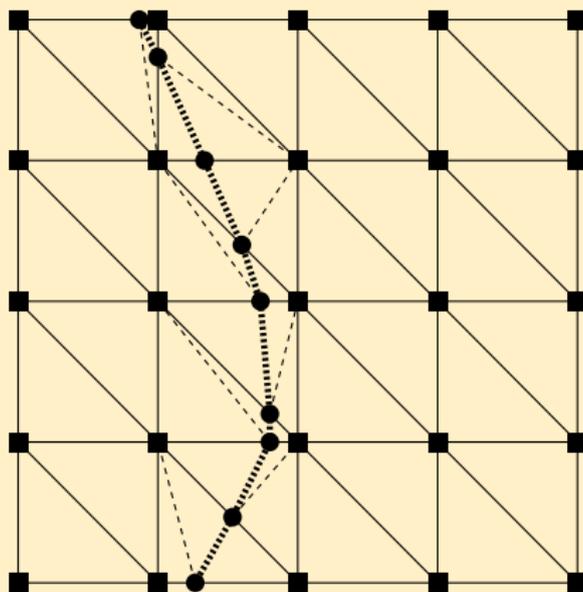
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Interfaces in 2D:



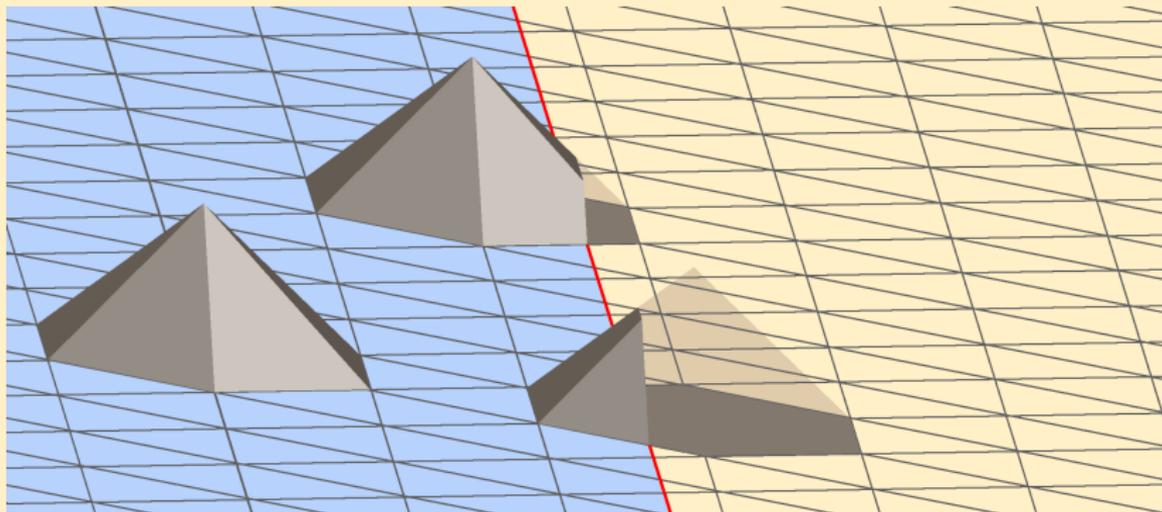
- could use 'virtual' triangular mesh (poor quality)
- could generate better triangular mesh (expensive)

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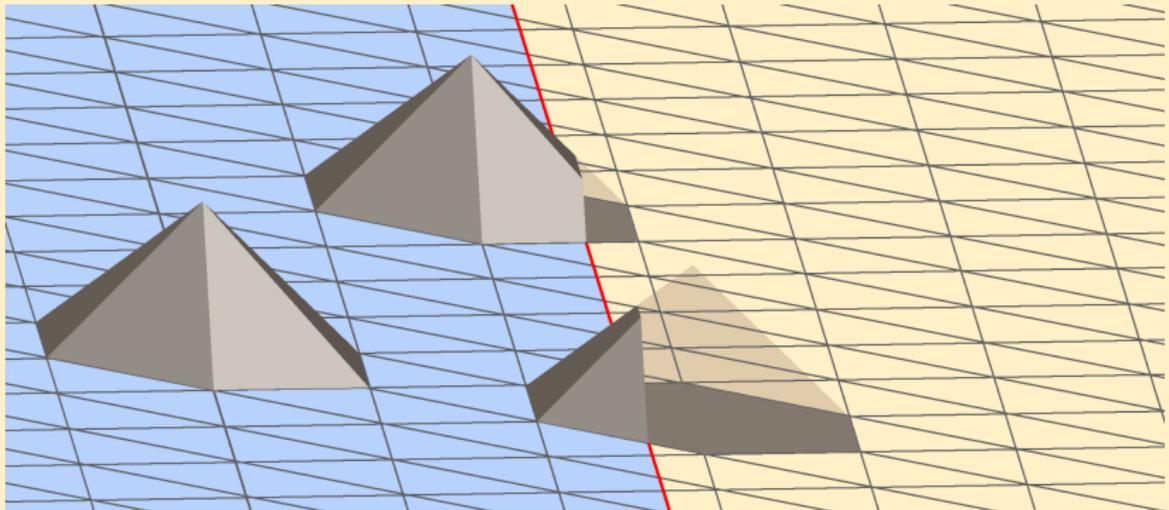
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[Hackbusch/Sauter; Liehr/Preusser/Rumpf/Sauter/S. Comp Vis Sci 12:171]



- far inside: standard tent
- at interface: truncated tent
- far outside: no tent

[Hackbusch/Sauter; Liehr/Preusser/Rumpf/Sauter/S. Comp Vis Sci 12:171]



- far inside: standard tent
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Spatial components of displacement are discretized separately

## ■ Standard vs. Composite Finite Elements

■ Finite Elements:

■

■

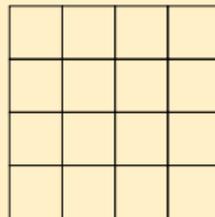
■

■

■

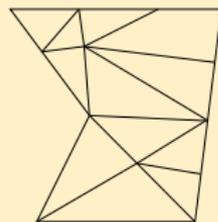
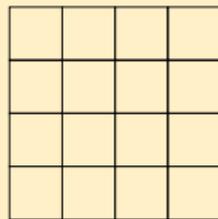
## Finite Elements:

- structured cubic grids:
  - efficient
  - natural coarse scales
  - poor geometric flexibility



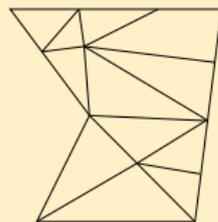
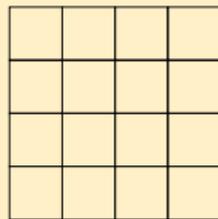
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  - key property: complicated grid with simple basis functions



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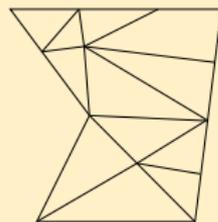
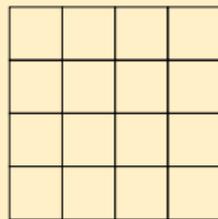


## Composite Finite Elements:

- combine advantages of both

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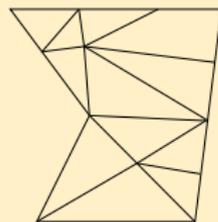
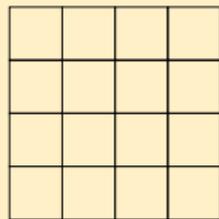
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## ■ Standard vs. Composite Finite Elements

### Finite Elements:

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  - key property: **complicated grid with simple basis functions**



### Composite Finite Elements:

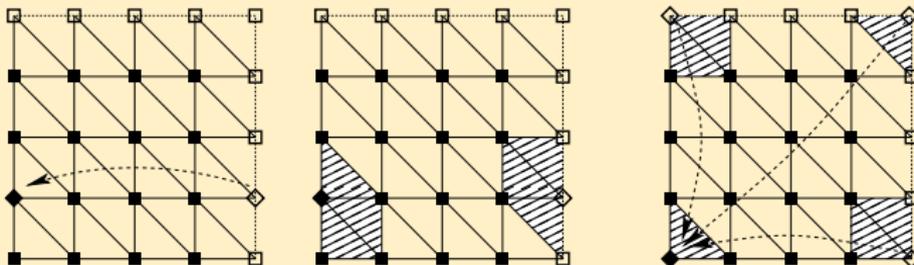
- combine advantages of both
- use **simple grid with complicated basis functions**

## ■ Composite Finite Elements

- 3D: cubes, tetrahedra and more technical subdivision
- multigrid solvers possible
- discontinuous material coefficients across interface

## ■ Discretization of Periodic Boundary Conditions

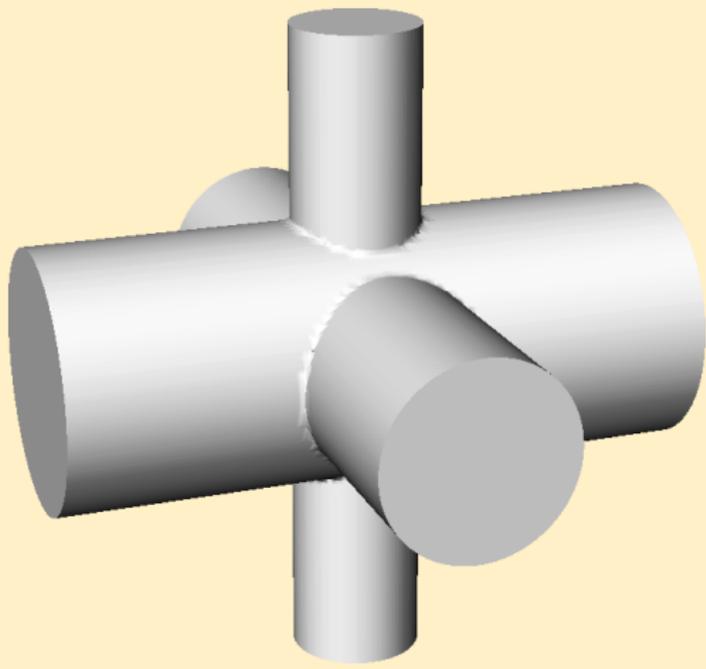
- identification of DOF for nodes on opposite faces
- appropriate identification of basis functions
- CFE peculiarities





# ■ Periodic Specimen

- 
- 
- 
- 
- 



Diameter-to-length ratios  $(0.4, 0.3, 0.2)$ ,  $E = 100 \text{ Pa}$ ,  $\nu = 0.33$ .

## Results (Convergence)

Resolution  $17^3$ :

$1.366 \cdot 10^{+01}$	$1.337 \cdot 10^{+00}$	$6.168 \cdot 10^{-01}$	$-2.335 \cdot 10^{-02}$	$-6.418 \cdot 10^{-03}$	$-1.175 \cdot 10^{-02}$
$1.334 \cdot 10^{+00}$	$8.261 \cdot 10^{+00}$	$3.190 \cdot 10^{-01}$	$-4.811 \cdot 10^{-02}$	$9.231 \cdot 10^{-03}$	$-1.754 \cdot 10^{-02}$
$5.987 \cdot 10^{-01}$	$3.082 \cdot 10^{-01}$	$3.800 \cdot 10^{+00}$	$6.818 \cdot 10^{-03}$	$-2.413 \cdot 10^{-04}$	$-1.821 \cdot 10^{-02}$
$-2.435 \cdot 10^{-02}$	$-5.255 \cdot 10^{-02}$	$3.287 \cdot 10^{-03}$	$9.040 \cdot 10^{-01}$	$8.628 \cdot 10^{-03}$	$-4.890 \cdot 10^{-03}$
$-6.973 \cdot 10^{-03}$	$8.953 \cdot 10^{-03}$	$1.074 \cdot 10^{-03}$	$8.253 \cdot 10^{-03}$	$3.610 \cdot 10^{-01}$	$-2.262 \cdot 10^{-02}$
$-1.281 \cdot 10^{-02}$	$-1.716 \cdot 10^{-02}$	$-1.699 \cdot 10^{-02}$	$-6.356 \cdot 10^{-03}$	$-2.403 \cdot 10^{-02}$	$2.331 \cdot 10^{-01}$

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Resolution  $33^3$ :

$1.280 \cdot 10^{+01}$	$1.191 \cdot 10^{+00}$	$5.881 \cdot 10^{-01}$	$1.429 \cdot 10^{-03}$	$-4.527 \cdot 10^{-03}$	$-2.823 \cdot 10^{-03}$
$1.203 \cdot 10^{+00}$	$7.617 \cdot 10^{+00}$	$3.064 \cdot 10^{-01}$	$-5.823 \cdot 10^{-03}$	$3.690 \cdot 10^{-03}$	$-3.170 \cdot 10^{-03}$
$5.877 \cdot 10^{-01}$	$3.137 \cdot 10^{-01}$	$3.964 \cdot 10^{+00}$	$1.563 \cdot 10^{-03}$	$-1.666 \cdot 10^{-03}$	$-5.369 \cdot 10^{-03}$
$1.200 \cdot 10^{-03}$	$-2.507 \cdot 10^{-03}$	$5.538 \cdot 10^{-03}$	$7.038 \cdot 10^{-01}$	$3.277 \cdot 10^{-03}$	$-2.520 \cdot 10^{-03}$
$-4.967 \cdot 10^{-03}$	$3.036 \cdot 10^{-03}$	$-1.412 \cdot 10^{-03}$	$2.718 \cdot 10^{-03}$	$2.691 \cdot 10^{-01}$	$-7.311 \cdot 10^{-03}$
$-2.770 \cdot 10^{-03}$	$-6.013 \cdot 10^{-03}$	$-6.026 \cdot 10^{-03}$	$-2.042 \cdot 10^{-03}$	$-7.838 \cdot 10^{-03}$	$1.768 \cdot 10^{-01}$

## Results (Convergence)

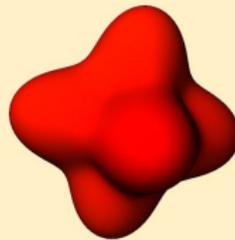
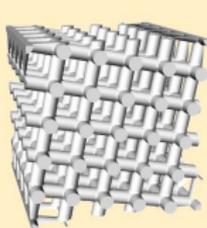
Resolution  $17^3$ :

$$\begin{bmatrix} 1.366 \cdot 10^{+01} & 1.337 \cdot 10^{+00} & 6.168 \cdot 10^{-01} & -2.335 \cdot 10^{-02} & -6.418 \cdot 10^{-03} & -1.175 \cdot 10^{-02} \\ 1.334 \cdot 10^{+00} & 8.261 \cdot 10^{+00} & 3.190 \cdot 10^{-01} & -4.811 \cdot 10^{-02} & 9.231 \cdot 10^{-03} & -1.754 \cdot 10^{-02} \\ 5.987 \cdot 10^{-01} & 3.082 \cdot 10^{-01} & 3.800 \cdot 10^{+00} & 6.818 \cdot 10^{-03} & -2.413 \cdot 10^{-04} & -1.821 \cdot 10^{-02} \\ -2.435 \cdot 10^{-02} & -5.255 \cdot 10^{-02} & 3.287 \cdot 10^{-03} & 9.040 \cdot 10^{-01} & 8.628 \cdot 10^{-03} & -4.890 \cdot 10^{-03} \\ -6.973 \cdot 10^{-03} & 8.953 \cdot 10^{-03} & 1.074 \cdot 10^{-03} & 8.253 \cdot 10^{-03} & 3.610 \cdot 10^{-01} & -2.262 \cdot 10^{-02} \\ -1.281 \cdot 10^{-02} & -1.716 \cdot 10^{-02} & -1.699 \cdot 10^{-02} & -6.356 \cdot 10^{-03} & -2.403 \cdot 10^{-02} & 2.331 \cdot 10^{-01} \end{bmatrix}$$

Resolution  $257^3$ :

$$\begin{bmatrix} 1.323 \cdot 10^{+01} & 1.213 \cdot 10^{+00} & 5.700 \cdot 10^{-01} & -3.114 \cdot 10^{-04} & -2.278 \cdot 10^{-04} & -1.702 \cdot 10^{-04} \\ 1.214 \cdot 10^{+00} & 8.149 \cdot 10^{+00} & 3.596 \cdot 10^{-01} & -7.628 \cdot 10^{-04} & 1.198 \cdot 10^{-04} & -2.541 \cdot 10^{-04} \\ 5.700 \cdot 10^{-01} & 3.588 \cdot 10^{-01} & 3.939 \cdot 10^{+00} & 1.441 \cdot 10^{-04} & -1.085 \cdot 10^{-04} & -4.061 \cdot 10^{-04} \\ -3.184 \cdot 10^{-04} & -6.852 \cdot 10^{-04} & 2.634 \cdot 10^{-04} & 6.620 \cdot 10^{-01} & 1.217 \cdot 10^{-04} & -6.435 \cdot 10^{-05} \\ -2.296 \cdot 10^{-04} & 1.266 \cdot 10^{-04} & -9.129 \cdot 10^{-05} & 1.225 \cdot 10^{-04} & 2.182 \cdot 10^{-01} & -3.005 \cdot 10^{-04} \\ -1.428 \cdot 10^{-04} & -2.450 \cdot 10^{-04} & -4.025 \cdot 10^{-04} & -5.785 \cdot 10^{-05} & -2.824 \cdot 10^{-04} & 1.718 \cdot 10^{-01} \end{bmatrix}$$

# Rotated Artificial Periodic Object (Complicated Domain)



roll angle =  $11.31^\circ$

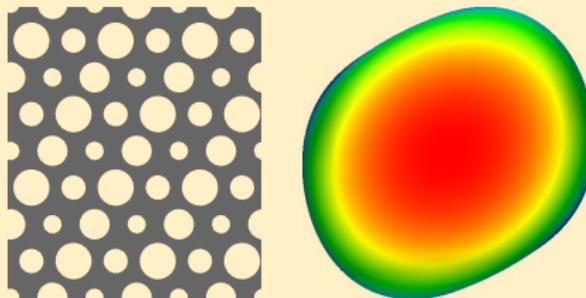
$$\bar{C} = \begin{bmatrix} 0.152681 & 0.019914 & 0.020399 & & & & \\ 0.019914 & 0.140770 & 0.027324 & -0.017414 & & & \\ 0.020399 & 0.027324 & 0.141225 & 0.017371 & & & \\ & -0.017414 & 0.017371 & 0.022457 & -1.69 \cdot 10^{-4} & & \\ & & & -1.69 \cdot 10^{-4} & 0.015305 & 2.18 \cdot 10^{-4} & \\ & & & & 2.18 \cdot 10^{-4} & 0.014825 & \end{bmatrix}$$

$$\bar{C}^Q = \begin{bmatrix} 0.152681 & 0.019962 & 0.020352 & -1.58 \cdot 10^{-4} & & & \\ 0.019962 & 0.148068 & 0.020060 & & & & \\ 0.020352 & 0.020060 & 0.148455 & & & & \\ -1.58 \cdot 10^{-4} & & & 0.015193 & & & \\ & & & & 0.015371 & & \\ & & & & & 0.014759 & \end{bmatrix}$$

(small entries have been omitted)

$(-11.33, 2.25 \cdot 10^{-3}, -1.72 \cdot 10^{-2})^\circ$

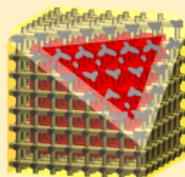
Complicated domain:



$$\bar{c}^Q = \begin{bmatrix} 4.172 & 1.899 & 2.307 & 1.22 \cdot 10^{-10} & -7.58 \cdot 10^{-10} & 0.125 \\ 1.899 & 3.451 & 2.033 & 4.53 \cdot 10^{-10} & -3.57 \cdot 10^{-10} & -0.051 \\ 2.307 & 2.033 & 6.499 & 4.20 \cdot 10^{-10} & -1.32 \cdot 10^{-09} & 0.028 \\ 1.22 \cdot 10^{-10} & 4.53 \cdot 10^{-10} & 4.20 \cdot 10^{-10} & 1.179 & 0.036 & 1.22 \cdot 10^{-13} \\ -7.58 \cdot 10^{-10} & -3.57 \cdot 10^{-10} & -1.32 \cdot 10^{-09} & 0.036 & 1.337 & 8.44 \cdot 10^{-11} \\ 0.125 & -0.051 & 0.028 & 1.22 \cdot 10^{-13} & 8.44 \cdot 10^{-11} & 1.085 \end{bmatrix}$$

Large optimal orthotropy violation (as expected).

## ■ Boundary Artifacts



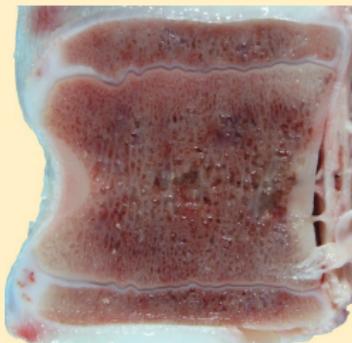
boundary layer $\beta$	0/8	1/8	2/8	3/8
relevant Frobenius difference	0.108	0.045	0.029	0.020
relative DOF usage	1.000	0.422	0.125	0.016

Trade-off between

- reduction of boundary artifacts
- computational efficiency

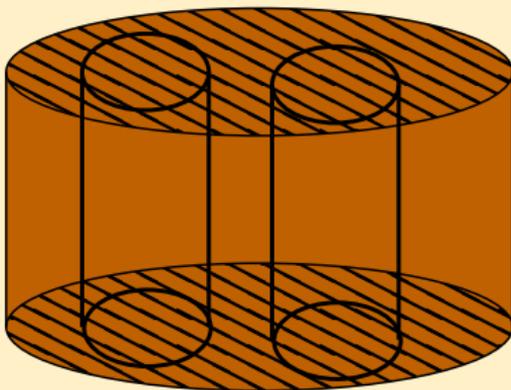
## ■ Specimen Acquisition

- Harvested vertebrae from spines of
  - young ♂ human
  - osteoporotic ♀ human
  - pig
  - cow



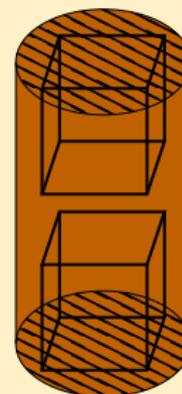
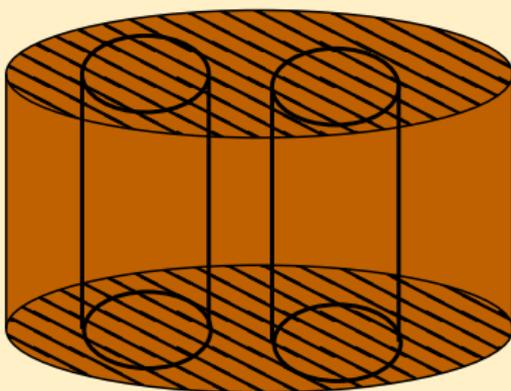
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  - young ♂ human
  - osteoporotic ♀ human
  - pig
  - cow
- physical preparation
- $\mu$ CT scans at 40  $\mu$ m
- cubic specimens of edge length 5.16 mm



**human-y**

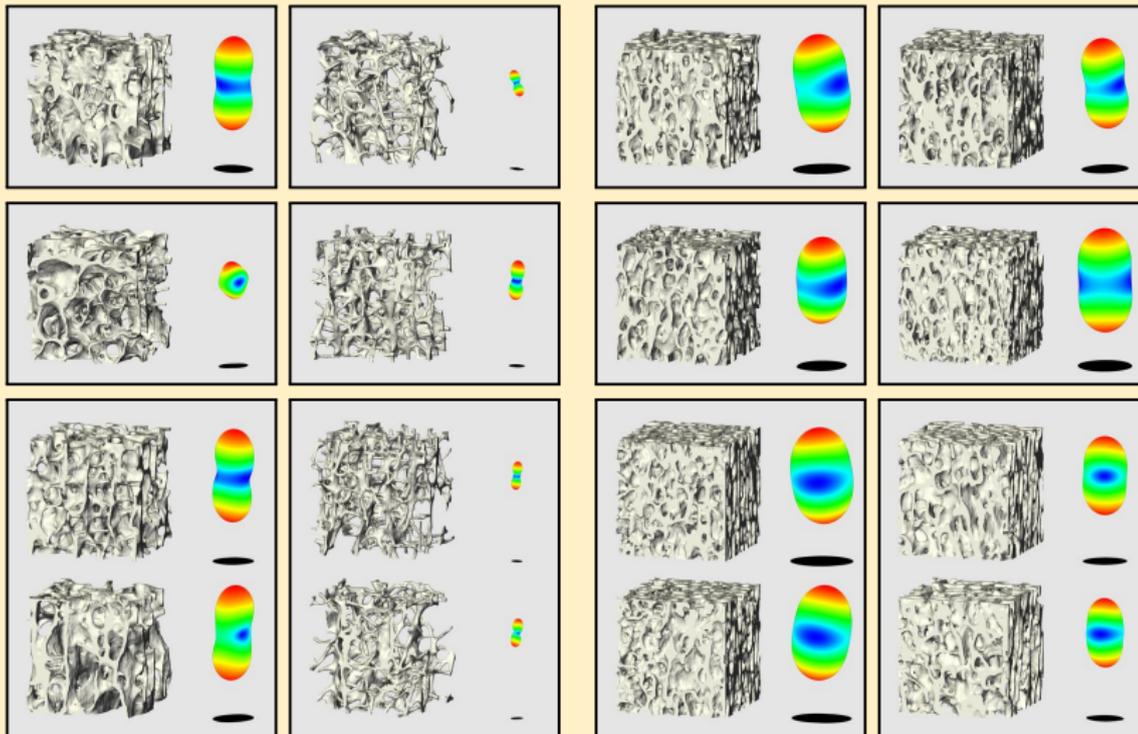
♂ young

**human-o**

♀ osteoporotic

**porcine**

**bovine**

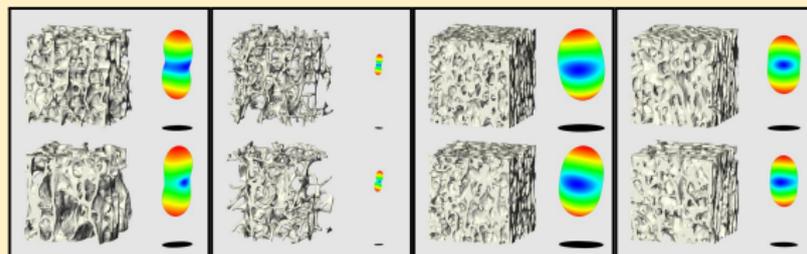


human tensors scaled by 4

# Differences Between Species (Averages)

species	human-y	human-o	porcine	bovine
edge length of $\Omega_{\beta=0.125}^{\#}$				
in Tb.Sp	4.22	3.65	9.08	7.90
volume fraction	0.141	0.081	0.370	0.330
$E_{zz}$ in GPa	0.812	0.383	3.456	3.203
$\frac{E_{xx}+E_{yy}}{2}$ in GPa	0.334	0.130	1.831	1.263
anisotropy $\frac{2E_{zz}}{E_{xx}+E_{yy}}$	2.550	4.054	1.891	2.635

examples



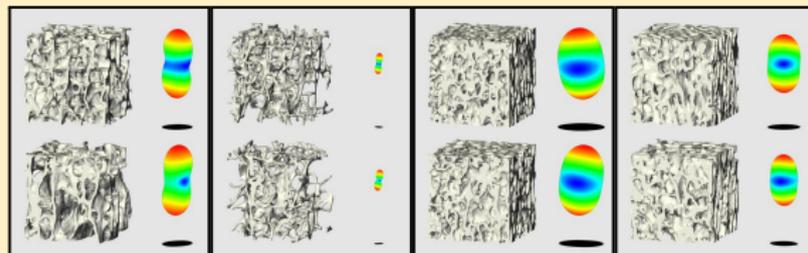
scaled by 4

$z$ : aligned craniocaudal direction;  $x, y$ : aligned transverse directions

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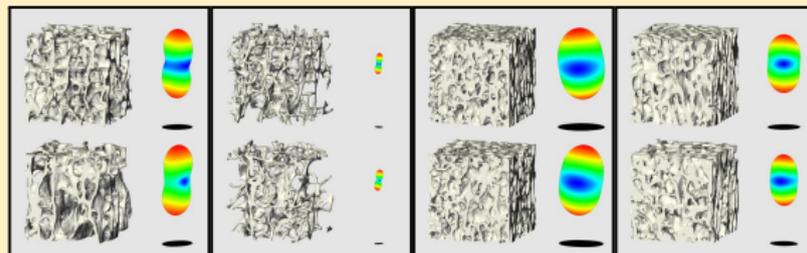
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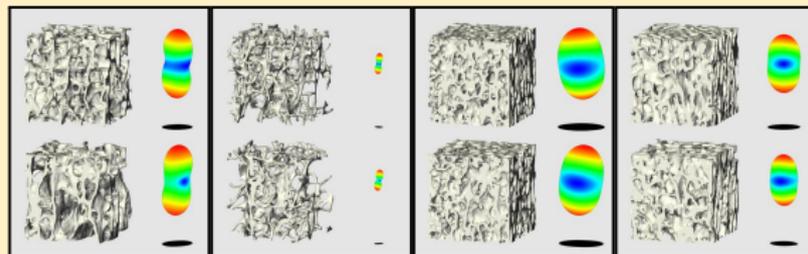
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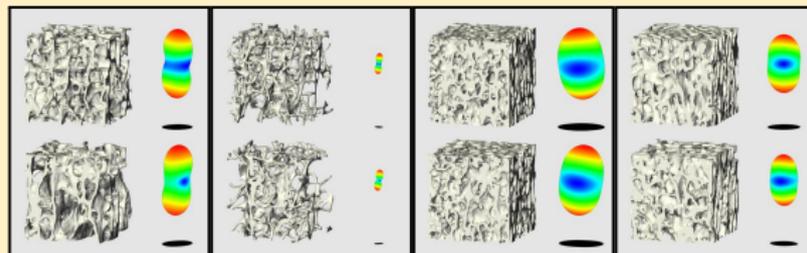
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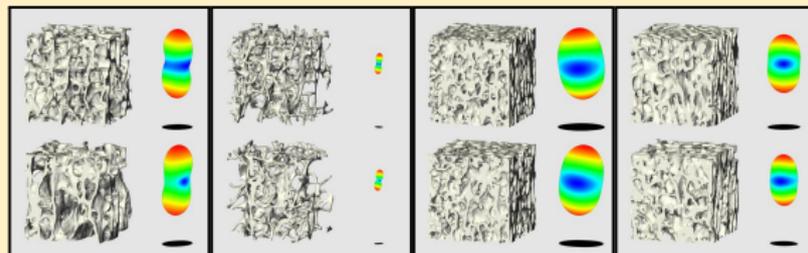
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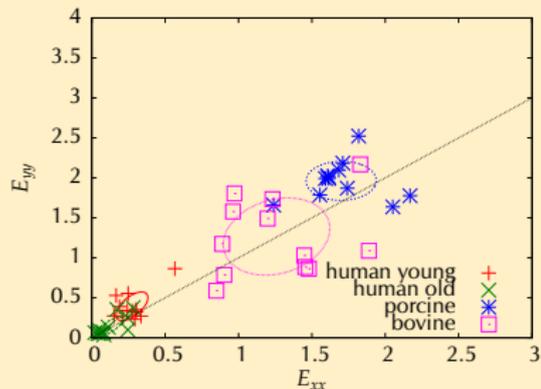
examples



scaled by 4

z: aligned craniocaudal direction; x, y: aligned transverse directions

## Differences Between Species (2)

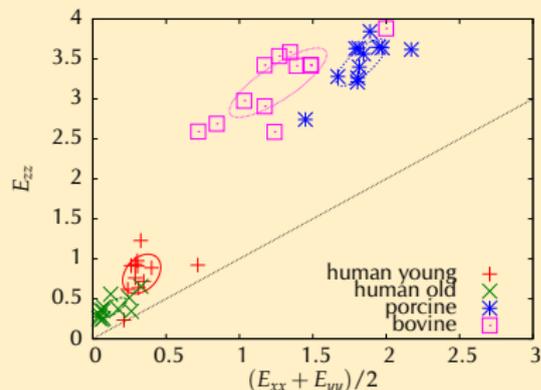
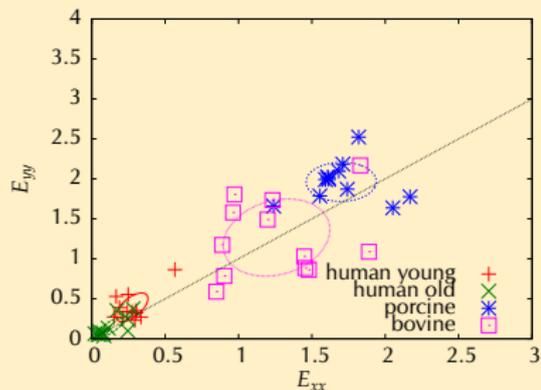


(ellipses: 1 standard deviation obtained by PCA)

Interpretation:

- transverse isotropy?

## Differences Between Species (2)



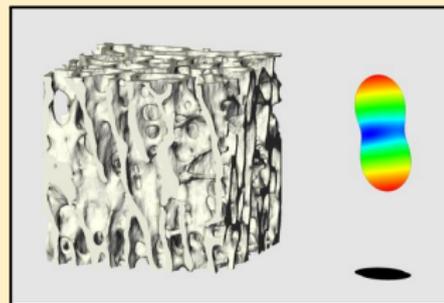
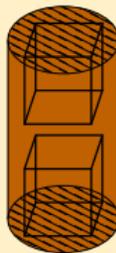
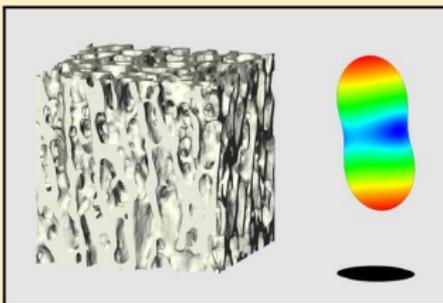
(ellipses: 1 standard deviation obtained by PCA)

Interpretation:

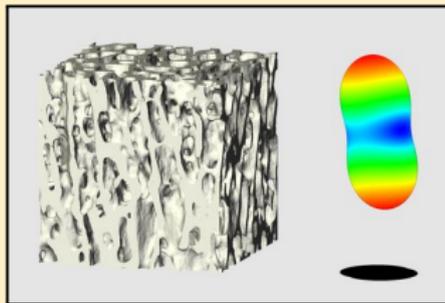
- transverse isotropy?
- anisotropy: craniocaudal/average transverse
- distinct clusters

# ■ Differences for a Single Vertebra

■ Need full two-scale model, cannot consider spongy interior as homogeneous material.



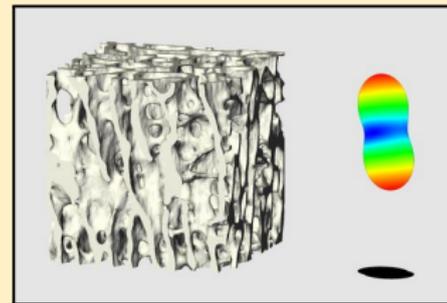
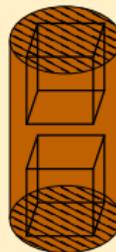
Need full two-scale model, cannot consider spongy interior as homogeneous material.



roll =  $-7.52^\circ$ , pitch =  $9.44^\circ$ ,  
yaw =  $-18.22^\circ$

$$E_{xx} = 1.199, E_{yy} = 1.492,$$

$$E_{zz} = 3.584 \text{ GPa}$$



roll =  $-12.27^\circ$ , pitch =  $10.75^\circ$ ,  
yaw =  $-26.27^\circ$

$$E_{xx} = 0.903, E_{yy} = 0.786,$$

$$E_{zz} = 2.690 \text{ GPa}$$



- homogenization method for periodic and statistically periodic trabecular microstructures
- some understanding of macroscopic properties of animal and human vertebral trabecular bone
- limitations:
  - simple linear elasticity model (no plasticity or cracks)
  - uncertain material parameters (human vs. animal)
  - only 12 specimens per species
  - dorsoventral and dextrosinistral axes unknown
  - validation of Composite FE pending

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- M. Rumpf, L. O. Schwen, H.-J. Wilke, and U. Wolfram: *Numerical homogenization of trabecular bone specimens using composite finite elements*, 1st Conference on Multiphysics Simulation – Advanced Methods for Industrial Engineering, Fraunhofer, 2010
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Contact: [ole.schwen@mevis.fraunhofer.de](mailto:ole.schwen@mevis.fraunhofer.de)